



**SHELBY COUNTY GOVERNMENT**  
 160 N. Main Street, Suite 900, Purchasing  
 MEMPHIS, TENNESSEE 38103  
 (901) 222-2250

Purchase Order No S012434
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Issue Date 02/07/20
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**Purchase Order**

Vendor No	Delivery Required	Terms	Ship Via	F.O.B	Requisition No
A5042	02/07/20	N30		DEST	

Issued to SOL ENGINEERING SERVICES, LLC  
 106 S. PRESIDENT STREET  
 SUITE 400  
 JACKSON, MS 39201

Ship To SHELBY COUNTY ROADS & BRIDGES  
 6449 HALEY ROAD  
 MEMPHIS, TN 38134

EOC #: MBE-VS-0620-27863  
 Buyer: SONJA WORTHY

IF MATERIAL SAFETY DATA SHEETS ARE  
 REQUIRED ON PRODUCT(S) THAT YOU WILL BE  
 PROVIDING, PLEASE SUBMIT SAME WITH  
 PRODUCT(S) DELIVERED.

Item	Quantity	U/M	Description	Unit Price	Extended Price
1			FOR CONSTRUCTION ENGINEERING SERVICES OF THE WOLF RIVER GREENWAY PHASE 10A SEGMENT OF THE NATIONAL RESILIENCE DISASTER GRANT CA2021917 COMMODITY: 962/58 ACCOUNT DISTRIBUTION: 297-271272-6678  <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <p>I hereby certify that the goods/services on attached              invoice(s) have been received and that payment is              in order. This is a <input type="checkbox"/> Partial payment <input type="checkbox"/> Final              payment on this P.O. Payment Amount approved:              \$ _____</p> <p>Signature _____ Date _____</p> </div>	240000.00000	240000.00
<b>Total of Purchase Order</b>					<b>240000.00</b>

ORDER SUBJECT TO TERMS AND CONDITIONS ON REVERSE SIDE  
 BILLING INSTRUCTIONS: SHOW PURCHASE ORDER NUMBER  
 ON ALL INVOICES. NOTE: PAYMENTS MAY BE DELAYED UNLESS  
 BILLING INSTRUCTIONS ARE FOLLOWED EXACTLY.

SEND ORIGINAL INVOICE TO:  
 SHELBY COUNTY ROADS & BRIDGES  
 6449 HALEY ROAD  
 MEMPHIS, TN 38134

901-222-7705

Christin L. Webb APPROVED, ADMINISTRATOR OF PURCHASING  02/07/20 Date _____ Authorized Signature _____
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## CONTRACT

This contract (the "Contract") entered into this 10<sup>th</sup> day of February, 2020, and between SHELBY COUNTY GOVERNMENT, hereinafter referred to as "COUNTY" and SOL ENGINEERING SERVICES, LLC, hereinafter referred to as "CONSULTANT".

### WITNESSETH

**WHEREAS**, COUNTY has the need for the provision of professional services for construction engineering and inspection; and

**WHEREAS**, COUNTY issued a Request for Qualifications ("RFQ") Number 19-011-10, construction engineering and inspection Services on January 9, 2019, and CONSULTANT responded to said RFQ on February 5, 2019; and

**WHEREAS**, CONSULTANT has the knowledge and expertise to provide such services; and

**WHEREAS**, COUNTY awarded the RFQ to CONSULTANT on April 10, 2019; and

**WHEREAS**, the parties are desirous of entering into a contract setting forth the terms and conditions under which CONSULTANT will provide said services.

**NOW THEREFORE**, for and in consideration of mutual promises and covenants herein contained, the parties hereto agree as follows:

#### **I. SCOPE OF WORK**

1. CONSULTANT shall provide the services as outlined within COUNTY's RFQ #19-011-10 and CONSULTANT's response thereto which is attached hereto as Exhibit "A" and incorporated herein by reference as if stated verbatim (the "Services").

#### **II. TERM AND COMPENSATION**

1. The term of this Contract (the "Term") will commence upon the execution of this Contract and continue through completion of the project or December 31, 2021, whichever is sooner.
2. COUNTY agrees to compensate CONSULTANT for the provision of

the Services the sum total not to exceed TWO HUNDRED FORTY THOUSAND AND 00/100(\$240,000.00) Dollars (the "Fee") during the term of this Contract.

3. The Fee shall be paid in accordance with the cost proposal on the attached Exhibit B.
4. CONSULTANT shall submit invoices to COUNTY on a monthly basis for Services performed during the preceding month. Invoices shall be submitted in electronic format to the attention of Kevin Eason at kevin.eason@shelbycountyttn.gov and Jason Morris at jason.morris@shelbycountyttn.gov. Invoice format shall be in accordance with TDOT invoicing procedures. COUNTY shall pay such invoices within thirty (30) days of its receipt and approval of said invoices. COUNTY is not obligated to pay, and will withhold from payment, any amounts COUNTY has in dispute with CONSULTANT based on CONSULTANT'S non-performance or negligent performance of any of the Services under this Contract.
5. CONSULTANT shall not be permitted or authorized to incur costs beyond the extent that purchase orders have been issued on approved contracts and/or purchases prior to the commencement date, during the term of the contract, and/or subsequent to the termination date of County contracts or purchases without prior, expressly written, appropriate authorization pursuant to County purchasing procedures and rules and regulations. County is not obligated to pay nor shall CONSULTANT be entitled to receive payments for contract fees and expenses incurred in violation of this provision

### **III. GENERAL CONDITIONS**

The parties further agree as follows:

#### **1. CONTROL**

All Services by CONSULTANT will be performed in a manner satisfactory to COUNTY, and in accordance with the generally accepted business practices and procedures of the COUNTY.

#### **2. PROVIDER/CONSULTANT'S PERSONNEL**

CONSULTANT certifies that it presently has adequate qualified personnel to perform all Services required under this Contract. All work performed during the Term of this Contract will be supervised by CONSULTANT. CONSULTANT further certifies that all of its employees assigned to serve COUNTY

have such knowledge and experience as required to perform the duties assigned to them. Any employee of CONSULTANT who, in the opinion of COUNTY, is incompetent, or whose conduct becomes detrimental to the work, shall immediately be removed from association with the Services under this Contract.

3. INDEPENDENT STATUS

- a. Nothing in this Contract shall be deemed to represent that CONSULTANT, or any of CONSULTANT's employees or agents, are the agents, representatives, or employees of COUNTY. CONSULTANT will be an independent CONSULTANT over the details and means for performing the Services under this Contract. Anything in this Contract which may appear to give COUNTY the right to direct CONSULTANT as to the details of the performance of the Services under this Contract or to exercise a measure of control over CONSULTANT is solely for purposes of compliance with local, state and federal regulations and means that CONSULTANT will follow the desires of the COUNTY only as to the intended results of the scope of this Contract.
- b. It is further expressly agreed and understood by CONSULTANT that neither it nor its employees or agents are entitled to any benefits which normally accrue to employees of the COUNTY; that CONSULTANT has been retained by COUNTY to perform the Services specified herein (not hired) and that the remuneration specified herein is considered fees for the Services performed (not wages) and that invoices submitted to the COUNTY by CONSULTANT for the Services performed shall be on CONSULTANT's letterhead.

4. REPORTS

CONSULTANT shall prepare and submit monthly reports of its activities, funded under this Contract, to the originating department and the Contract Administration Department of COUNTY. The reports shall include an itemization of the use of COUNTY's funds and shall be inclusive of specific Services delivered. Any such reports provided to COUNTY shall be prepared with the understanding that COUNTY may make such reports available to the public. The quarterly reports and all books of account and financial records that are specific to the work performed in accordance with this Contract may be subject to audit by the Director of the Division of Administration and Finance of COUNTY. COUNTY shall have the right to withhold future disbursement of funds under this Contract and any future Contracts until this provision has been met.

5. TERMINATION OR ABANDONMENT

- a. It shall be cause for the immediate termination of this Contract if, after its execution, COUNTY determines that:
- i) Either CONSULTANT or any of its principals, partners or corporate officers, if a corporation, including the corporation itself, has pled nolo contendere, or has pled or been found guilty of a criminal violation, whether state or federal, involving, but not limited to, governmental sales or purchases, including but not limited to the rigging of bids, price fixing, or any other collusive and illegal activity pertaining to bidding and governmental contracting; or
  - ii) CONSULTANT has subcontracted, assigned, delegated, transferred its rights, obligations or interests under this Contract without the COUNTY's consent or approval; or
  - iii) CONSULTANT has filed bankruptcy, become insolvent or made an assignment for the benefit of creditors, or a receiver, or similar officer has been appointed to take charge of all or part of CONSULTANT assets.
- b. COUNTY may terminate the Contract upon five (5) days written notice by COUNTY or its authorized agent to CONSULTANT for CONSULTANT's failure to provide the Services specified under this Contract.
- c. This Contract may be terminated by either party by giving thirty (30) days written notice to the other, before the effective date of termination (the "Termination Date"). In the event of such termination, CONSULTANT shall be paid for all Services rendered prior to the Termination Date, provided CONSULTANT shall have delivered to COUNTY such statements, accounts, reports and other materials as required under this Contract; however, CONSULTANT shall not be compensated for any anticipatory profits that have not been earned as of the date of the Termination Date. All Services completed by CONSULTANT prior to the Termination Date shall be documented and tangible work documents shall be transferred to and become the sole property of COUNTY prior to payment for the Services rendered.



- d. Notwithstanding the above or any section herein to the contrary, CONSULTANT shall not be relieved of liability to COUNTY for damages sustained by COUNTY by virtue of any breach of the Contract by CONSULTANT and the COUNTY may withhold any payments to CONSULTANT for the purpose of setoff until such time as the exact amount of damages due the COUNTY from CONSULTANT is determined.

6. COMPENSATION FOR CORRECTIONS

No compensation shall be due or payable to CONSULTANT pursuant to this Contract for any CONSULTANT's Services performed by CONSULTANT in connection with effecting of corrections, when such corrections are required as a direct result of negligence by CONSULTANT to properly fulfill any of their obligations as set forth in this Contract.

7. SUBCONTRACTING, ASSIGNMENT OR TRANSFER

- a. Any subcontracting, assignment, delegation or transfer of all or part of the rights, responsibilities, or interest of either party to this Contract is prohibited unless by written consent of the other party. No subcontracting, assignment, delegation or transfer shall relieve CONSULTANT from performance of the Services under this Contract. COUNTY shall not be responsible for the fulfillment of CONSULTANT's obligations to its transferors or subcontractors.
- b. Upon the request of the other party, the subcontracting, assigning, delegating or transferring party shall provide all documents evidencing the subcontract, assignment, delegation or transfer.

8. CONFLICT OF INTEREST

CONSULTANT covenants that it has no public or private interest, and will not acquire directly or indirectly any interest which would conflict in any manner with the performance of the Services. CONSULTANT warrants that no part of the total Contract Fee shall be paid directly or indirectly to any officer or employee of COUNTY as wages, compensation, or gifts in exchange for acting as officer, agent, employee, subcontractor or consultant to CONSULTANT in connection with any work contemplated or performed relative to this Contract.

9. CONTINGENT FEES

CONSULTANT warrants that it has not employed or retained any company or person other than a bona fide employee working

solely for CONSULTANT, to solicit or secure this Contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for CONSULTANT any fee, commission, percentage, brokerage fee, gift, or any other consideration contingent upon or resulting from the award or making of this Contract. For breach or violation of this warranty, COUNTY will have the right to recover the full amount of such fee, commission, percentage, brokerage fee, gift, or other consideration.

10. NON-PUBLIC INFORMATION

CONSULTANT, for the purpose of securing a special privilege, benefit, or exemption for itself or others, including but not limited to the award of this Contract, has not sought and will not seek the disclosure or use of information from any COUNTY official, employee, or appointee that was gained by said COUNTY official, employee, or appointee by reason of his or her official COUNTY position and not available to the public generally.

11. ACCESS TO RECORDS

During all phases of the work and Services to be provided hereunder, CONSULTANT agrees to permit duly authorized agents and employees of COUNTY to enter CONSULTANT's offices for the purpose of inspections, reviews and audits during normal working hours. Reviews may also be accomplished at meetings that are arranged at mutually agreeable times and places. CONSULTANT will maintain all books, documents, papers, accounting records, and other evidence pertaining to the Fee paid under this Contract and make such materials available at their offices at all reasonable times during the Term of this Contract and for three (3) years from the date of payment under this Contract for inspection by COUNTY or by any other governmental entity or agency participating in the funding of this Contract, or any authorized agents thereof. Copies of said records shall be furnished to COUNTY upon request.

12. ARBITRATION

Any dispute concerning a question of fact in connection with the work not disposed of by agreement between CONSULTANT and COUNTY will be referred to the Shelby County Contract Administrator or its duly authorized representative, whose decision regarding same will be final.

13. RESPONSIBILITIES FOR CLAIMS AND LIABILITIES

- a. CONSULTANT shall indemnify, defend, save and hold harmless COUNTY, and its elected officials, officers, employees, agents, assigns, and instrumentalities from and against any and all claims, liability, losses or damages—including but not limited to Title VII and 42 USC 1983 prohibited acts—arising out of or resulting from any conduct; whether actions or omissions; whether intentional, unintentional, or negligent; whether legal or illegal; or otherwise that occur in connection with or in breach of this Contract or in the performance of the Services hereunder, whether performed by CONSULTANT its subcontractors, agents, employees or assigns. This indemnification shall survive the termination or conclusion of this Contract.
- b. CONSULTANT expressly understands and agrees that any insurance protection required by this Contract or otherwise provided by CONSULTANT shall in no way limit the responsibility to indemnify, defend, save and hold harmless COUNTY or its elected officials, officers, employees, agents, assigns, and instrumentalities as herein provided.
- c. The COUNTY has no obligation to provide legal counsel or defense to CONSULTANT or its subcontractors in the event that a suit, claim or action of any character is brought by any person not a party to this agreement against CONSULTANT as a result of or relating to performance of the Services under this Contract.
- d. Except as expressly provided herein, COUNTY has no obligation for the payment of any judgment or the settlement of any claims against CONSULTANT as a result of or relating to performance of the Services under this Contract.
- e. CONSULTANT shall immediately notify COUNTY of any claim or suit made or filed against CONSULTANT or its subcontractors regarding any matter resulting from or relating to CONSULTANT's performance of the Services under this Contract and will cooperate, assist and consult with COUNTY in the defense or investigation thereof.

14. GENERAL COMPLIANCE WITH LAWS

- a. CONSULTANT certifies that it is qualified or will take steps necessary to qualify to do business in the State of Tennessee and that it will take such action as, from time to time, may be necessary to remain so qualified and it



shall obtain, at its expense all licenses, permits, insurance, and governmental approvals, if any, necessary to the performance of the Services under this Contract.

- b. CONSULTANT is assumed to be familiar with and agrees that at all times it will observe and comply with all federal, state, and local laws, ordinances, and regulations in any manner affecting the performance of the Services. The preceding shall include, but is not limited to, compliance with all Equal Employment Opportunity laws, the Fair Labor Standards Act, Occupational Safety and Health Administration (OSHA) requirements, and the Americans with Disabilities Act (ADA).
- c. This Contract will be interpreted in accordance with the laws of the State of Tennessee. By execution of this Contract, CONSULTANT agrees that all actions, whether sounding in contract or in tort, relating to the validity, construction, interpretation and enforcement of this Contract will be instituted and litigated in the courts of the State of Tennessee, located in Shelby County, Tennessee, and in no other. In accordance herewith, the parties to this Contract submit to the jurisdiction of the courts of the State of Tennessee located in Shelby County, Tennessee.

15. NON-DISCRIMINATION

CONSULTANT hereby agrees, warrants, and assures compliance with the provisions of Title VI and VII of the Civil Rights Act of 1964 and all other federal statutory laws which provide in whole or in part that no person shall be excluded from participation or be denied benefits of or be otherwise subjected to discrimination in the performance of this Contract or in the employment practices of CONSULTANT on the grounds of handicap and/or disability, age, race, color, religion, sex, national origin, or any other classification protected by federal, Tennessee State Constitutional or statutory law. CONSULTANT shall upon request show proof of such non-discrimination and shall post in conspicuous places available to all employees and applicants notices of non-discrimination.

16. ENTIRE AGREEMENT

This Contract represents the entire and integrated agreement between the parties and supersedes all prior negotiations, representations or agreements, whether oral or written.

17. AMENDMENT

This Contract may be modified or amended only by written instrument signed by both parties.

18. SEVERABILITY

If any provision of this Contract is held to be unlawful, invalid or unenforceable under any present or future laws, such provision shall be fully severable; and this Contract shall then be construed and enforced as if such unlawful, invalid or unenforceable provision had not been a part hereof. The remaining provisions of this Contract shall remain in full force and effect and shall not be affected by such unlawful, invalid or unenforceable provision or by its severance here from. Furthermore, in lieu of such unlawful, invalid, or unenforceable provision, there shall be added automatically as a part of this Contract a legal, valid and enforceable provision as similar in terms to such unlawful, invalid or unenforceable provision as possible.

19. NO WAIVER OF CONTRACTUAL RIGHT

No waiver of any term, condition, default, or breach of this Contract, or of any document executed pursuant hereto, shall be effective unless in writing and executed by the party making such waiver; and no such waiver shall operate as a waiver of either (a) such term, condition, default, or breach on any other occasion or (b) any other term, condition, default, or breach of this Contract or of such document. No delay or failure to enforce any provision in this Contract or in any document executed pursuant hereto shall operate as a waiver of such provision or any other provision herein or in any document related hereto. The enforcement by any party of any right or remedy it may have under this Contract or applicable law shall not be deemed an election of remedies or otherwise prevent such party from enforcement of one or more other remedies at any time.

20. MATTERS TO BE DISREGARDED

The titles of the several sections, subsections, and paragraphs set forth in this contract are inserted for convenience of reference only and shall be disregarded in construing or interpreting any of the provisions of this Contract.

21. SUBJECT TO FUNDING

This Contract is subject to annual appropriations of funds by the Shelby County Government. In the event sufficient funds

for this Contract are not appropriated by Shelby County Government for any of its fiscal period during the Term hereof, then this Contract will be terminated. In the event of such termination, CONSULTANT shall be entitled to receive just and equitable compensation for any satisfactory work performed as of the Termination Date.

22. MILEAGE EXPENSES

All mileage expenses payable under this Contract shall be in accordance with the County Travel Policy and Procedures. This includes advance written travel authorization, submission of travel claims, documentation requirements, and reimbursement rates. No travel advances will be made by the County. Mileage expenses are the only allowable expenses to be reimbursed by the County.

23. NON-LIABILITY FOR PROVIDER/CONSULTANT EMPLOYEE TAXES

Neither CONSULTANT nor its personnel are COUNTY's employees, and COUNTY shall not take any action or provide CONSULTANT's personnel with any benefits and shall have no liability for the following:

- a. Withholding FICA (Social Security) from /CONSULTANT's payments;
- b. Making state or federal unemployment insurance contributions on behalf of CONSULTANT or its personnel;
- c. Withholding state and federal income tax from payment to CONSULTANT;
- d. Making disability insurance contributions on behalf of CONSULTANT;
- e. Obtaining workers' compensation insurance on behalf of CONSULTANT or CONSULTANT's personnel.

24. INCORPORATION OF OTHER DOCUMENTS

- a. CONSULTANT shall provide Services pursuant to this Contract in accordance with the terms and conditions set forth within the Shelby County Request for Proposals/Bids as well as the Response of CONSULTANT thereto, all of which are maintained on file within the Shelby County Purchasing Department and incorporated herein by reference.

- b. It is understood and agreed between the parties that in the event of a variance between the terms and conditions of this Contract and any amendment thereto and the terms and conditions contained either within the Request for Proposals/Bids or the Response thereto, the terms and conditions of this Contract as well as any amendment shall take precedence and control the relationship and understanding of the parties.

25. SECTION 3 OF THE HOUSING AND URBAN DEVELOPMENT ACT OF 1968 - COMPLIANCE IN THE PROVISION OF TRAINING, EMPLOYMENT AND BUSINESS OPPORTUNITIES

- a. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.
- b. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.
- c. CONSULTANT agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each, and the name and location of the person(s) taking applications for each of the positions, and the anticipated date the work shall begin.
- d. CONSULTANT agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate

action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. CONSULTANT will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

- e. CONSULTANT will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.
- f. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.
- g. With respect to work performed in connection with Section 3 covered Indian housing assistance, Section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 and section 7(b) agree to comply with Section 3 to the maximum extent feasible, but not in derogation of compliance with Section 7(b).

26. RIGHT TO REQUEST REMOVAL OF CONSULTANT'S EMPLOYEES

COUNTY may interview the personnel CONSULTANT assigns to COUNTY's work. COUNTY shall have the right, at any time, to request removal of any employee(s) of CONSULTANT, whom COUNTY deems to be unsatisfactory for any reason. Upon such request, CONSULTANT shall use all reasonable efforts to promptly replace such employee(s) with substitute employee(s) having appropriate skills and training.

27. EMPLOYMENT OF ILLEGAL IMMIGRANTS



CONSULTANT hereby certifies to comply with all applicable federal and state laws prohibiting the employment of individuals not legally authorized to work in the United States. CONSULTANT shall not knowingly (i) utilize the services of illegal immigrants; or (ii) utilize the services of any subcontractor who will utilize the services of illegal immigrants in the performance of the contract. In the event CONSULTANT fails to comply with any and all local, state and federal laws prohibiting the employment of individuals not legally authorized to work in the United States, this Agreement may be canceled, terminated or suspended in whole or in part by the County, and CONSULTANT may be prohibited from contracting to supply goods and/or services to the County for a period of one (1) year from the date of discovery of the usage of illegal immigrant services in the performance of a contract with COUNTY.

28. INCORPORATION OF WHEREAS CLAUSES

The foregoing whereas clauses are hereby incorporated into this Contract and made a part hereof.

29. DISCLOSURE OF REPORTS, DATA OR OTHER INFORMATION

Notwithstanding anything to the contrary contained herein or within any other document supplied to COUNTY by CONSULTANT, CONSULTANT understands and acknowledges that COUNTY is a governmental entity subject to the laws of the State of Tennessee and that any reports, data or other information supplied to COUNTY by CONSULTANT due to Services performed pursuant to this Contract is subject to being disclosed as a public record in accordance with the laws of the State of Tennessee.

30. ORGANIZATION STATUS AND AUTHORITY

- a. CONSULTANT represents and warrants that it is a corporation, limited liability company, partnership, or other entity duly organized, validly existing and in good standing under the laws of the state of Tennessee; it has the power and authority to own its properties and assets and is duly qualified to carry on its business in every jurisdiction wherein such qualification is necessary.
- b. The execution, delivery and performance of this Contract by CONSULTANT has been duly authorized by all requisite action and will not violate any provision of law, any order of any court or other agency of government, the

organizational documents of CONSULTANT, any provision of any indenture, agreement or other instrument to which CONSULTANT is a party, or by which CONSULTANT's respective properties or assets are bound, or be in conflict with, result in a breach of, or constitute (with due notice or lapse of time or both) a default under any such indenture, agreement or other instrument, or result in the creation or imposition of any lien, charge or encumbrance of any nature whatsoever upon any of the properties or assets.

31. INSURANCE REQUIREMENTS

- a. CONSULTANT shall purchase and maintain, in a company or companies licensed to do business in the State of Tennessee, such insurance as will protect the County from claims which may arise out of or result from the CONSULTANT's operations under the Contract, whether such operations are performed by himself or by any subcontractors or by anyone directly or indirectly employed by any of them, or by anyone for whose acts the CONSULTANT or subcontractor may be liable.
- b. The insurance required shall be written for not less than any limits of liability specified or required by law, whichever is greater. Shelby County Government, its elected officials, appointees and employees will be named as additional insured. All policies will provide for thirty (30) days written notice to COUNTY of cancellation or material change in coverage provided. If policy terms and conditions do not allow for notice to COUNTY, CONSULTANT will immediately notify COUNTY and provide evidence of replacement coverage with no lapse. CONSULTANT will maintain throughout the life of this Contract insurance, through insurers rated A- or better by A.M. Best, in the following minimum requirements:
  - i) Professional Liability Insurance - \$1,000,000.00 per claim/\$3,000,000.00 annual aggregate, indicating if coverage is on occurrence basis or claims-made.
  - ii) Commercial General Liability Insurance - \$1,000,000.00 limit per occurrence bodily injury and property damage/\$1,000,000.00 personal and advertising injury/\$2,000,000.00 General Aggregate/\$2,000,000.00 Products-completed Operations Aggregate, indicating the coverage is provided on a claims-made or on an occurrence basis. The insurance shall include coverage for the

following:

- a. Premises/Operation;
  - b. XCU coverage, where applicable;
  - c. Products/Completed Operations;
  - d. Contractual Liability;
  - e. Independent Contractors;
  - f. Broad Form Property Coverage;
  - g. Personal Injury.
- iii) Workers Compensation and Employers' Liability Insurance - Workers Compensation statutory limits as required by Tennessee. This policy should include Employers' Liability Coverage for \$1,000,000.00 per accident.

Business Automobile Liability Insurance - \$1,000,000.00 each accident for property damage and personal injury. Coverage is to be provided on all owned/leased autos, non-owned autos and hired autos.

- c. CONSULTANT shall provide County with a current copy of the Certificate of Insurance at the time of contracting and shall maintain said insurance during the entire Contract period as well as provide renewal copies on each anniversary date. The certificate holder is to read:

Shelby County Government  
Contracts Administration -  
County Attorney's Office  
160 N. Main, Suite 950  
Memphis, TN 38103

- d. Upon termination or cancellation of any claims-made insurance currently in effect under this Contract, CONSULTANT shall purchase an extended reporting endorsement and furnish evidence of same to the County.
- e. Any coverage applicable to COUNTY will apply as primary and non-contributory regardless of any insurance or self-insurance maintained by COUNTY.

### 32. NOTICE

Any notices required or permitted to be given under the provisions of this Contract shall be effective only if in writing and delivered either in person to COUNTY's authorized agent or by First Class or U.S. Mail to the addresses set forth below, or to such other person or address as either

party may designate in writing and deliver as herein provided:

COUNTY: Shelby County Roads, Bridges, & Engineering  
6449 Haley Road  
Memphis, Tennessee 38134  
Attn.: Darren Sanders, County Engineer

and

Shelby County Government  
Contract Administration -  
County Attorney's Office  
160 N. Main St., Suite 950  
Memphis, Tennessee 38103

VENDOR: SOL Engineering Services, LLC  
Mr. Willie A. O'Neal Jr.  
6000 Poplar Ave. Suite 250  
Memphis, TN 38119

### 33. DATA SECURITY

CONSULTANT warrants to COUNTY and State that it agrees to meet the spirit and intent of all compliance requirements relating to the content of data accessed. This includes but is not limited to Payment Card Industry (PCI) data, as defined by PCI Security Standard v3.1, Protected Health Information (PHI), as defined under the Code of Federal Regulations, Title 45, Subtitle A, Subchapter C, Part 160, Subpart A, §160.103 (45 C.F.R. §160.103), and Personally Identifiable Information (PII), as defined in the National Institute of Standards and Technology Special Publication 800-122 sections 2.1 and 2.2, in electronic and/or paper format. CONSULTANT will sign any documents that are reasonably necessary to keep the State and COUNTY in compliance, including, but not limited to, Data Security - Vendor Acknowledgement agreement and Acceptable Use Policy, and to abide by SCG ITS security policies including, but not limited to, the SCG Network Security and Information Security policies.

CONSULTANT shall apply all vendor-issued security updates for system hardware and software components maintained by the CONSULTANT within 30 days of issuance.

Upon notification by COUNTY, CONSULTANT shall assure that all vulnerabilities specific to the systems maintained and identified by COUNTY Approved Scanning Vendor (ASV), using the common vulnerability scoring system (CVSS), as not meeting compliance requirements, including but not limited to PCI Data Security Standards (DSS) and Health Insurance Portability and Accountability Act (HIPAA), are patched, updated, or otherwise modified to assure they meet said compliance requirements.

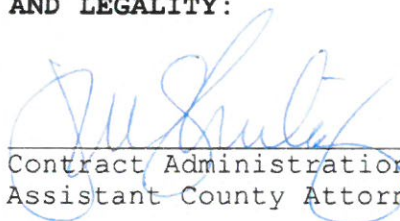
CONSULTANT shall promptly report to Information Technology Security Officer any breaches of Shelby County Government data and will implement immediate, appropriate corrective actions to contain and prevent recurrence.

- i) **HIPAA** -CONSULTANT warrants to COUNTY and State that it is familiar with the requirements of the Health Insurance Portability and Accountability Act of 1996 (HIPAA) and its accompanying regulations, and will comply with all applicable HIPAA requirements in the course of this Contract. CONSULTANT warrants that it will cooperate with COUNTY and State in the course of performance of the Contract so that all parties will be in compliance with HIPAA, including cooperation and coordination with COUNTY and State privacy officials and other compliance officers required by HIPAA and its regulations. CONSULTANT will sign any documents that are reasonably necessary to keep the State and COUNTY in compliance with HIPAA, including, but not limited to, business associate agreements.
- ii) **PCI-DSS**-CONSULTANT warrants to the COUNTY that it is familiar with the requirements established by the Payment Card Industry Security Standards Council for PCI Data Security Standards (PCI-DSS) and will comply with all applicable PCI-DSS requirements in the course of this Contract. CONSULTANT agrees to indemnify and hold COUNTY, its officers, employees, and agents, harmless for, from and against any and all claims, causes of action, suits, judgments, assessments, costs (including reasonable attorneys' fees) and expenses arising out of or relating to any breach of COUNTY or COUNTY customer credit card or identity information due to CONSULTANT's actions.
- iii) **Personally Identifiable Information (PII)** - CONSULTANT warrants to COUNTY that it will protect any information about an individual maintained by an agency, including (1) any information that can be used to distinguish or trace an individual's identity, such as name, social security number, date and place of birth, mother's maiden name, or biometric records; and (2) any other information that is linked or linkable to an individual, such as medical, educational, financial, and employment information.

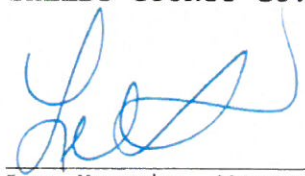


IN WITNESS WHEREOF, the parties hereto have set their signatures for the purposes contained herein, on the day and date first above written.

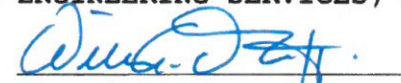
APPROVED AS TO FORM:  
AND LEGALITY:

  
Contract Administration/  
Assistant County Attorney

SHELBY COUNTY GOVERNMENT

  
Lee Harris, Mayor

SOL ENGINEERING SERVICES, LLC,

BY: 

TITLE: Principal

CORPORATE ACKNOWLEDGMENT

STATE OF MS  
COUNTY OF Hinds

Before me, the undersigned Notary Public, in and for the State and County aforesaid, personally appeared Willie A. O'Neal, Jr., with whom I am personally acquainted or proved to me on the basis of satisfactory evidence, and who, upon oath, acknowledged himself/herself to be president or other officer authorized by appropriate Corporate action and/or Resolution to execute the preceding instrument of the Contract, the within named bargainer, a corporation, and that he as such Principal of SOL, executed the foregoing instrument for the purpose therein contained, by signing the name of the corporation by himself/herself as Willie A. O'Neal, Jr., Principal.

WITNESS my hand and official seal at office this 9 day of December, 2019.

Marjorie Ella Roberts  
\_\_\_\_\_  
Notary Public

My Commission Expires: \_\_\_\_\_

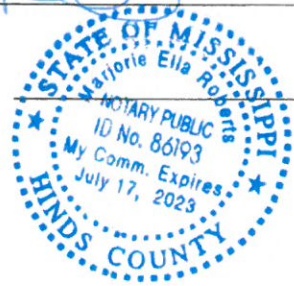


EXHIBIT B

**CEI - Wolf River Greenway Phase 10A - Shelby County, Tn.**

Work Items	DESCRIPTION	RATES							TOTAL
		\$256	\$172	\$173	\$100	\$57	\$165	\$80	
ITEM		HOURS							
		PRIN	SR ENG	PM	CONSPEC	ADMIN	SURVEY	TECH	
1	Participate in Preconstruction Meeting		0	4	6	2			12
2	Conduct construction progress meetings (monthly)			12	36	12			60
3	Review of plans and specifications to familiarize		6	0	8				14
4	Provide construction observation				1766				1766
5	Document and coordinate construction changes		0	12	24	4			40
6	Document and assemble quantities for monthly progress payments				24	12			36
7	Receive and check contractor's certified payrolls for conformance with Davis-Bacon requirements, conduct employee interviews, etc.				24	12			36
8	Generate and maintain project documentation, including inspection reports in compliance with project requirements			10	16	16			42
9	Punchlist inspections			4	8	4			16
10	Final Inspections		0	2	8	2			12
11	Submit a compilation of all project records when project is complete			6	8	16			30
12	Project management			36		18			54
13	Check construction staking						20		20
14	Geotechnical Services (incl. material testing)		20			16		172	208
15	Project Administration	3							
16	QC/QA	3							
<b>Total Hours</b>		<b>6</b>	<b>26</b>	<b>86</b>	<b>1928</b>	<b>114</b>	<b>20</b>	<b>172</b>	

\$1,536    \$4,472    \$14,878    \$192,800    \$6,498    \$3,300    \$13,760    **\$237,244**

Direct Cost Item - Estimated On-Site Mileage - 4,725 @ \$0.58/mile

**\$2,741**

**Total Estimated Fee \$239,985**